



ST. FRANCIS XAVIER  
UNIVERSITY

# CSCI-564

# CONSTRAINT PROCESSING AND HEURISTIC SEARCH

LECTURE 17 – ADVERSARY SEARCH (CONT'D)

Dr. Jean-Alexis Delamer



## Recap

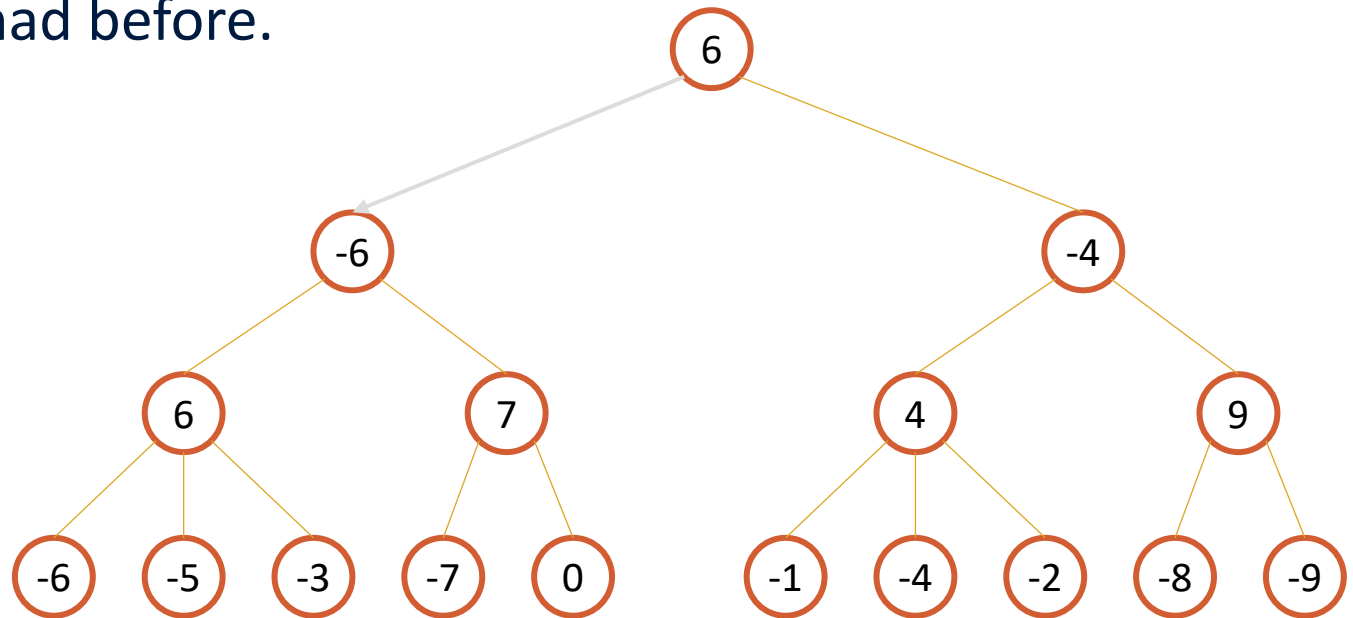
- In Game Theory:
  - We want to calculate **the optimal strategy**.
  - A strategy (or policy) **maps each state to an action**.
- Tree Search:
  - We limit the search **in depth**.
  - More important to evaluate all the nodes before a certain depth.
- Two algorithms:
  - Minimax and negmax.
  - Similar ideas.





# $\alpha\beta$ -Pruning

- Negmax performs a depth-first search of the game.
  - Explore the entire tree until a fixed depth.
- We fall in the same issue we had before.
  - The tree can grow very fast.



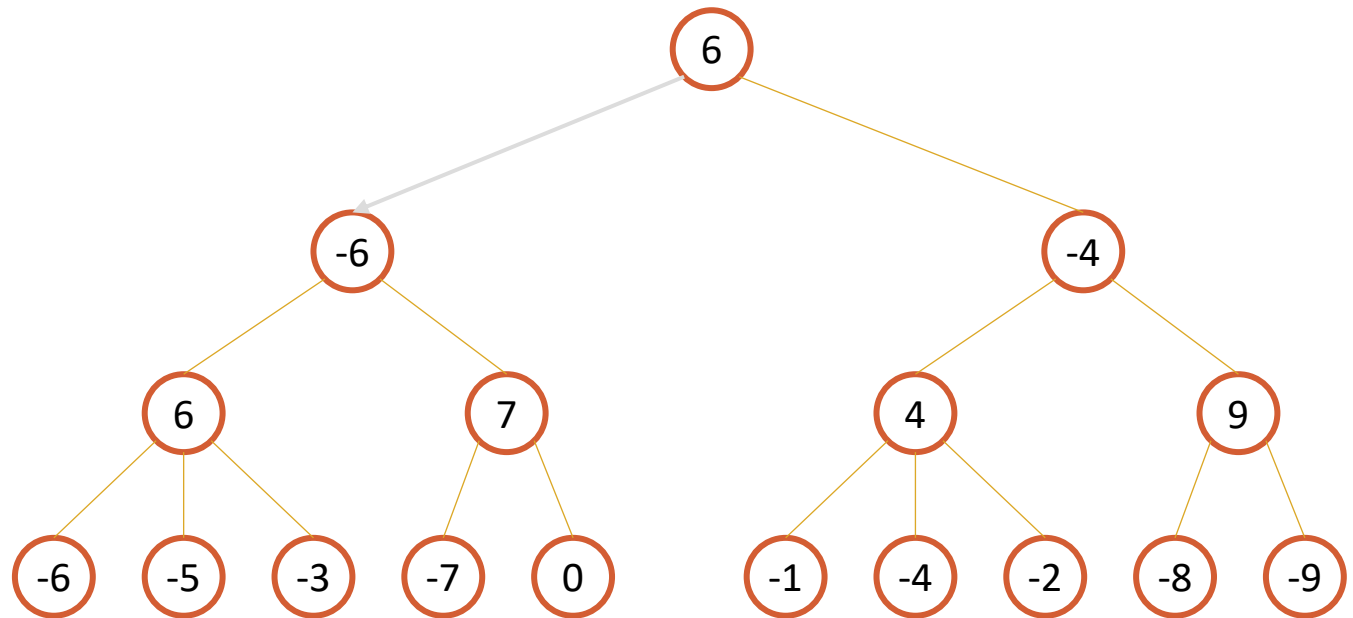
Negmax tree search





# $\alpha\beta$ -Pruning

- $\alpha\beta$ -pruning is a branch-and-bound method.
- The idea is simple:
  - Computing the root value while avoiding a part of the tree.
- Do you have an idea?



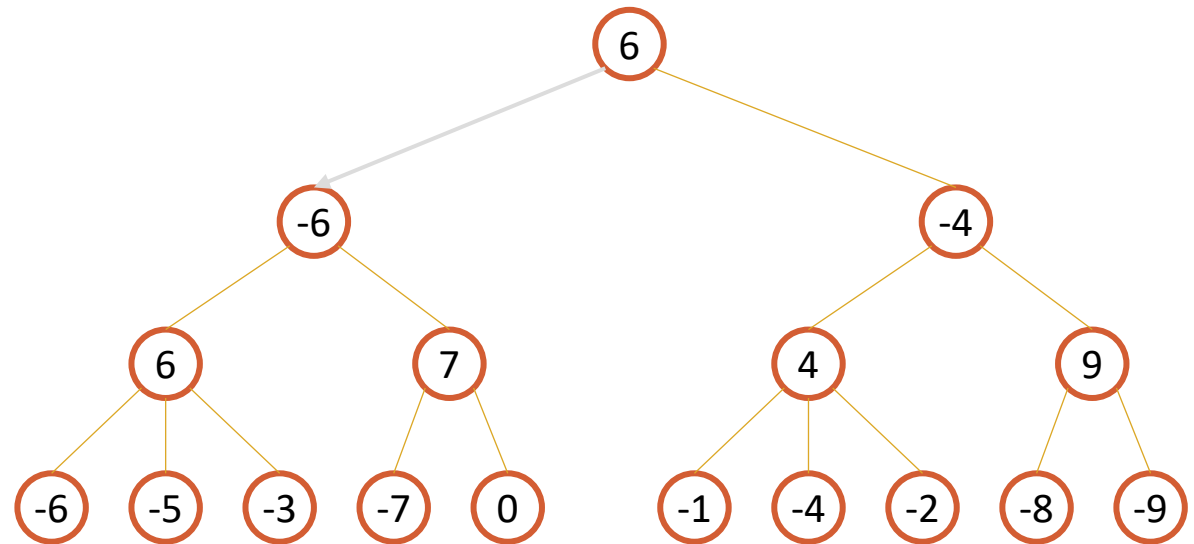
Negmax tree search





# $\alpha\beta$ -Pruning

- $\alpha\beta$ -pruning uses two bounds called  $\alpha\beta$ -window:
  - $\alpha$ : The **least value** that **the agent** can achieve.
  - $\beta$ : The **maximum value** that **the opponent** can achieve.
  - If the initial window is  $(-\infty, \infty)$ , it will determine the correct value





## $\alpha\beta$ -Pruning

- It avoid nodes by achieving **cut-offs**.
- We can distinguish two types:
  - **Shallow**
  - **Deep cut-offs**

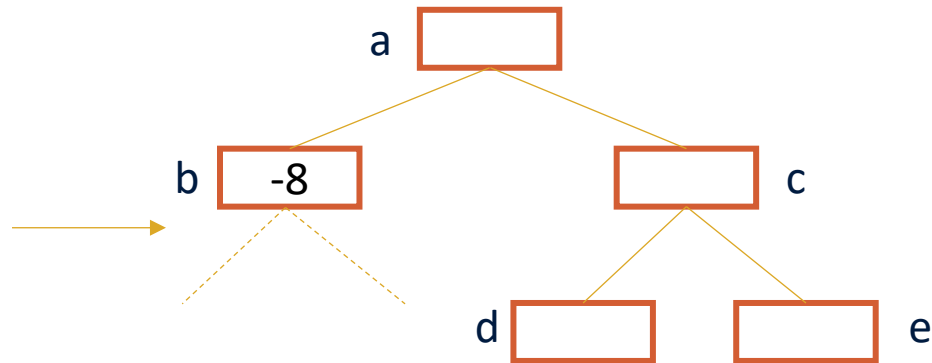




# $\alpha\beta$ -Pruning

- Shallow cut-off.

You explore the branches. And get the value -8.

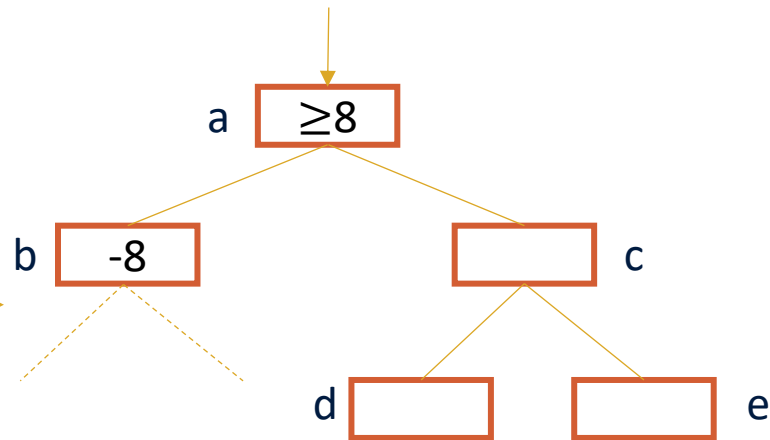




# $\alpha\beta$ -Pruning

- Shallow cut-off.

The root will be at least equal to 8



You explore the branches. And get the value -8.



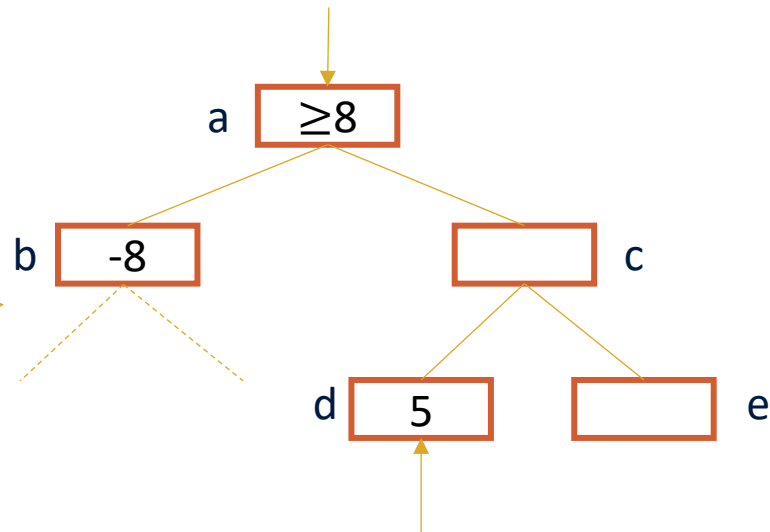




# $\alpha\beta$ -Pruning

- Shallow cut-off.

The root will be at least equal to 8



You explore the branches. And get the value -8.

You find the value 5 for the node.

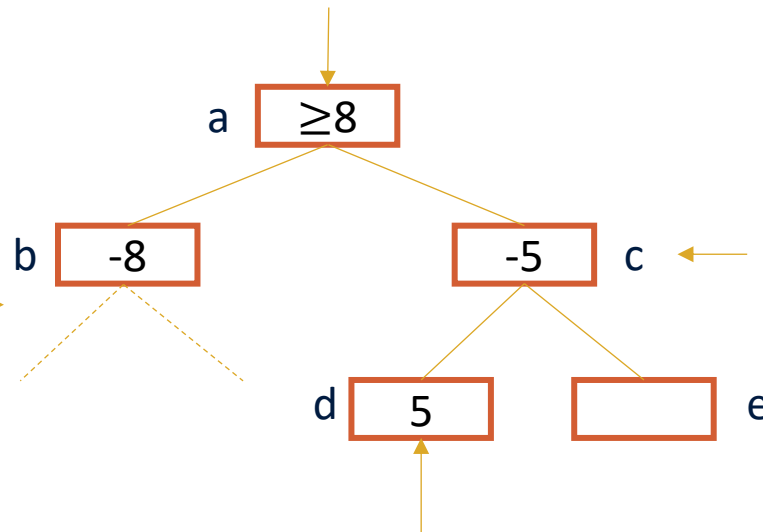




# $\alpha\beta$ -Pruning

- Shallow cut-off.

The root will be at least equal to 8



You explore the branches. And get the value -8.

The node will be at least -5.

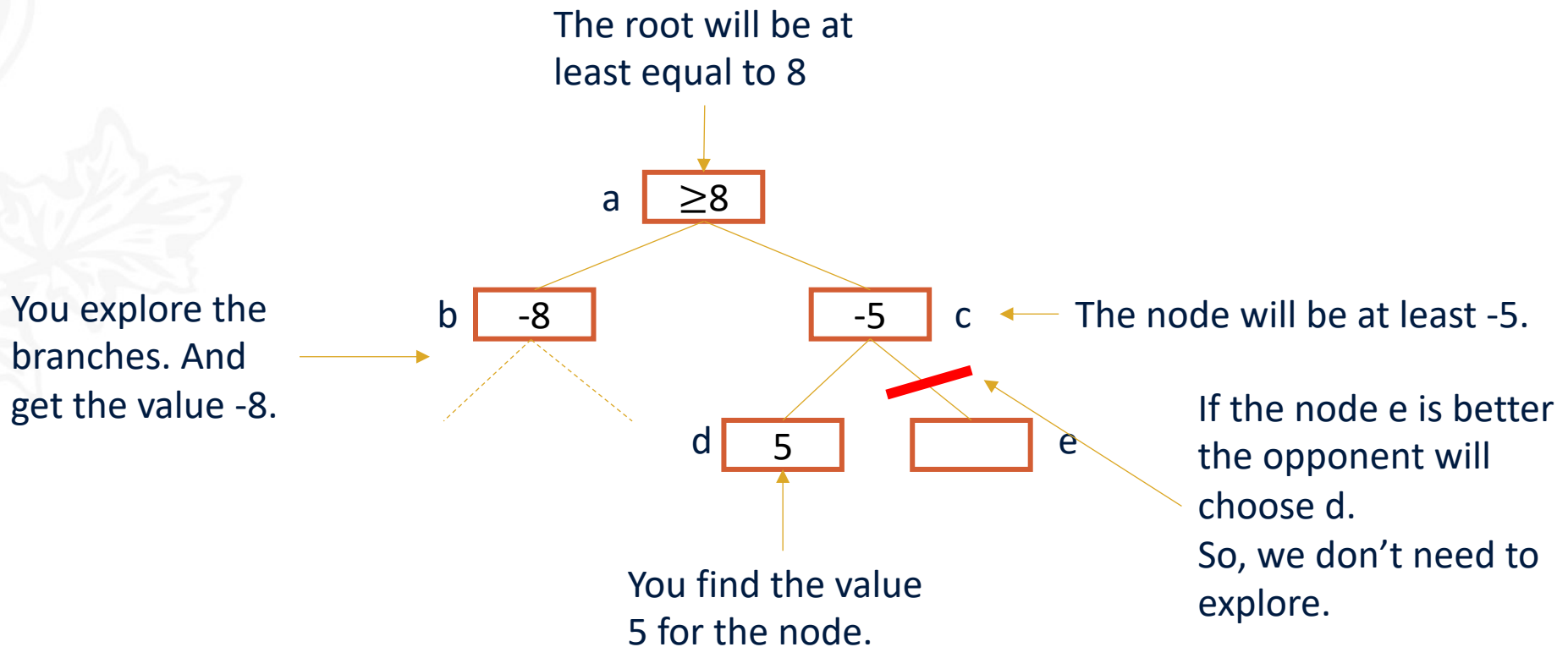
You find the value 5 for the node.





# $\alpha\beta$ -Pruning

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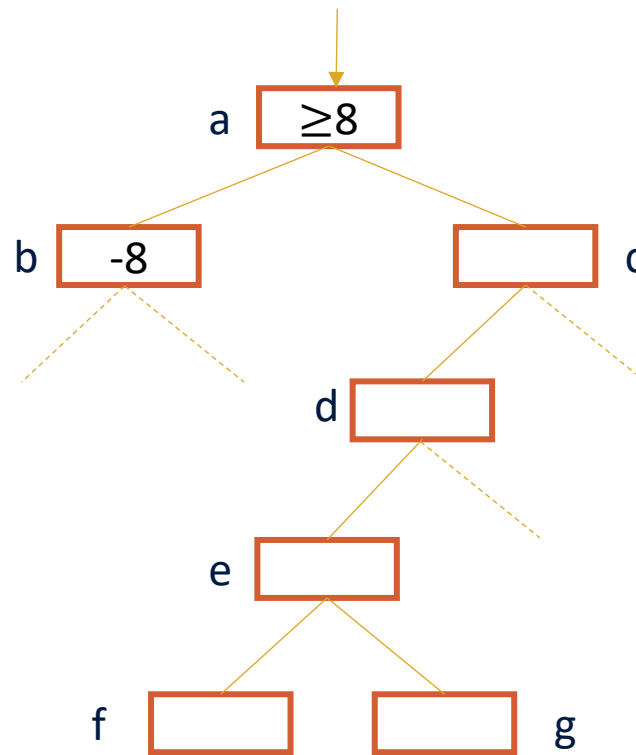




# $\alpha\beta$ -Pruning

- Deep cut-off.

The root will be at least equal to 8

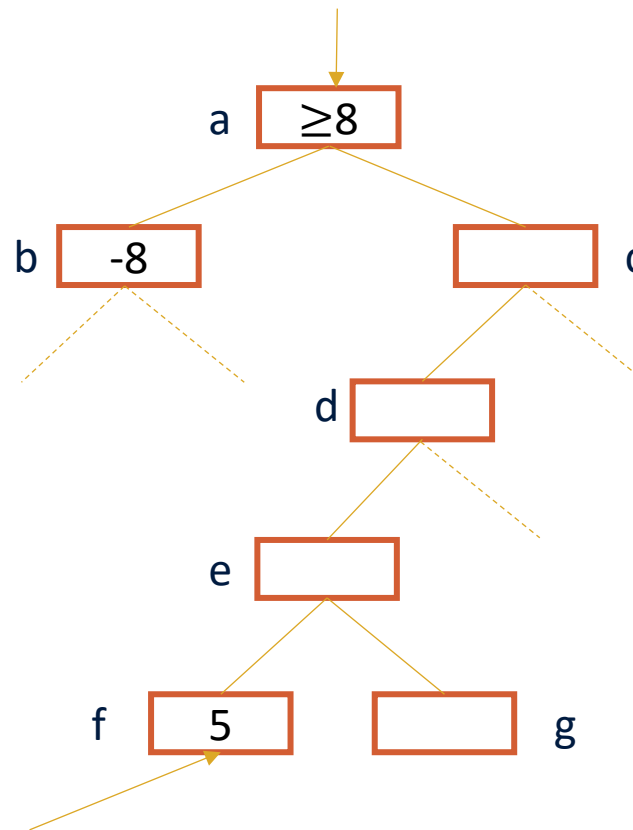




# $\alpha\beta$ -Pruning

- Deep cut-off.

The root will be at least equal to 8



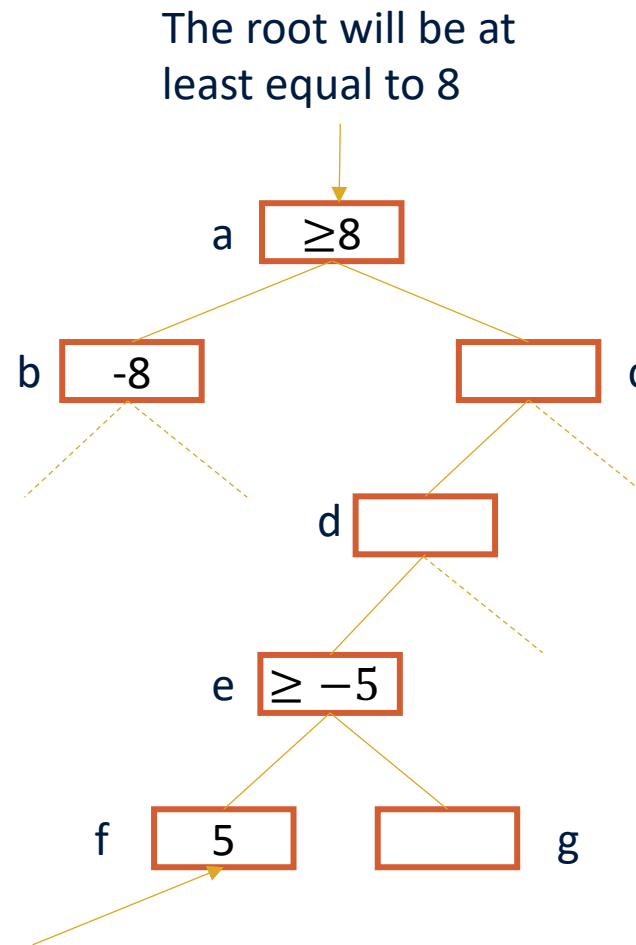
You find the value 5 for the node.





# $\alpha\beta$ -Pruning

- Deep cut-off.



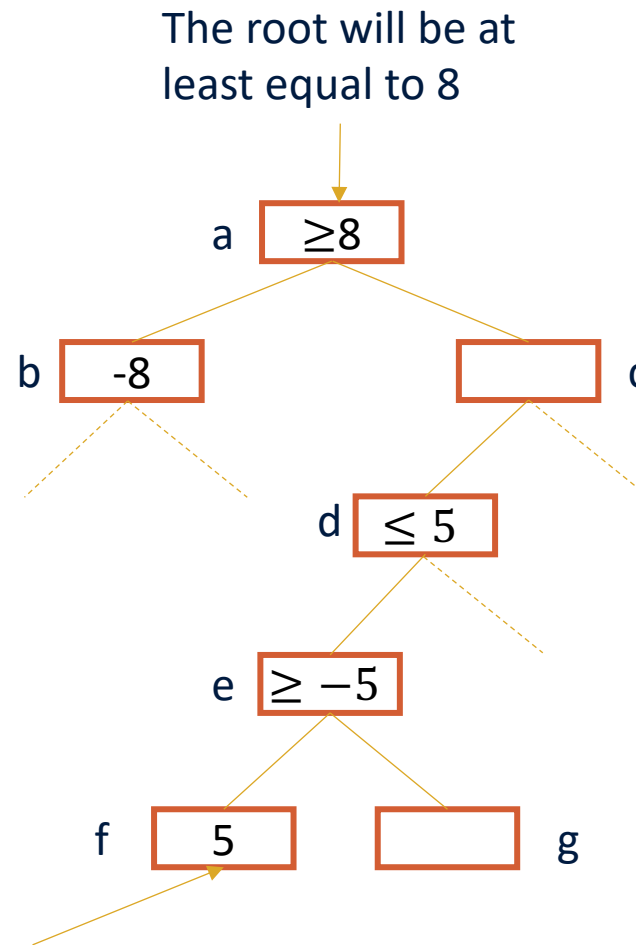
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# $\alpha\beta$ -Pruning

- Deep cut-off.



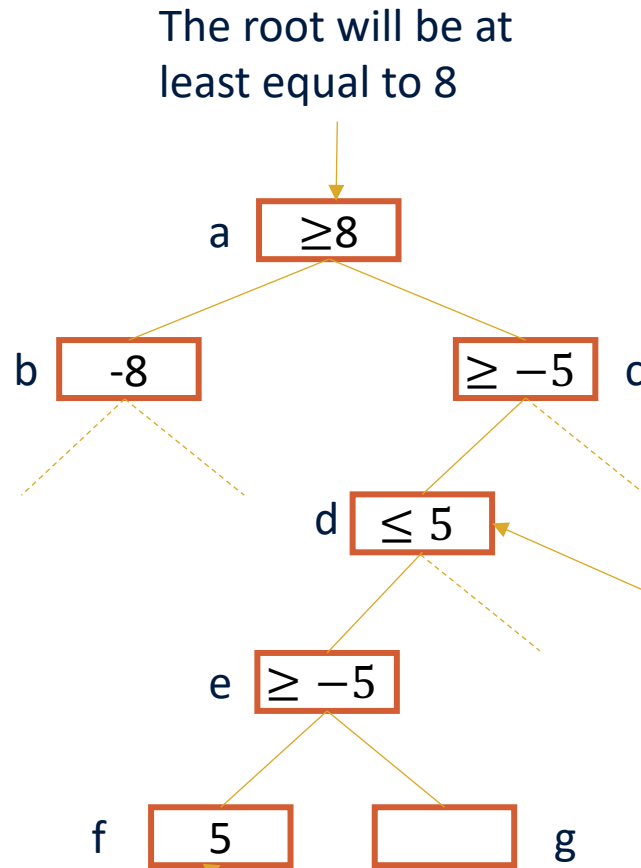
You find the value 5 for the node.





# $\alpha\beta$ -Pruning

- Deep cut-off.



Can already achieve 8 at the root by moving to b, so he will never choose to move to e from d.

You find the value 5 for the node.

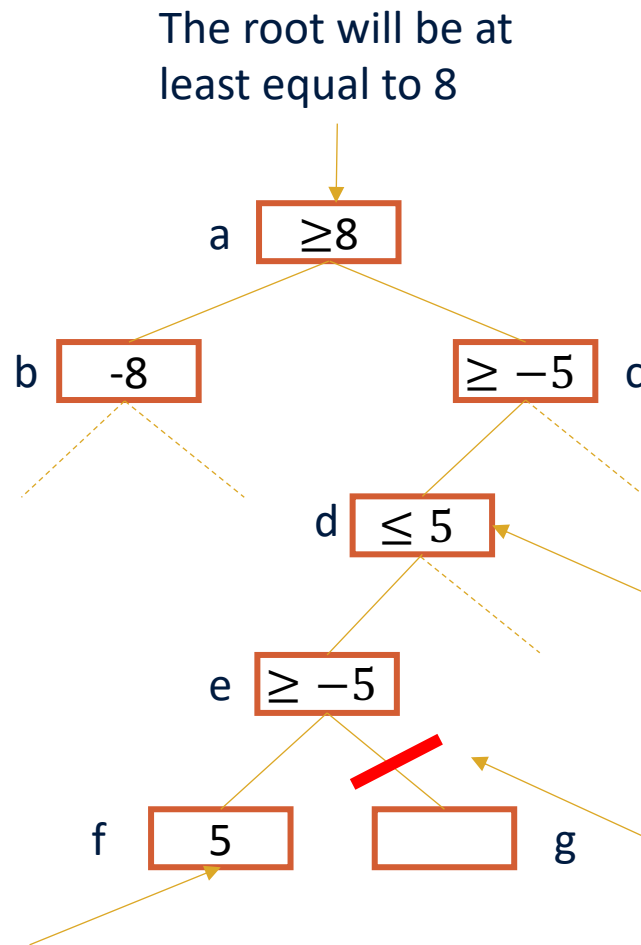






# $\alpha\beta$ -Pruning

- Deep cut-off.



Can already achieve 8 at the root by moving to b, so he will never choose to move to e from d.

We can cut the remaining nodes to explore.

You find the value 5 for the node.

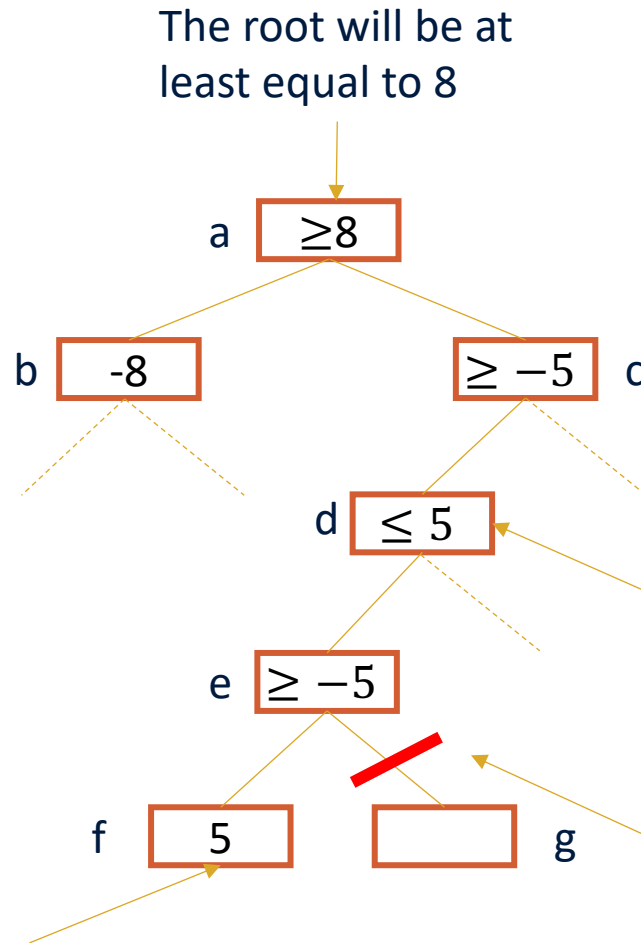


# $\alpha\beta$ -Pruning

- Deep cut-off.

In deep pruning, the bound used for the cut-off can stem not only from the parent, but from **any ancestor node**.

You find the value 5 for the node.



Can already achieve 8 at the root by moving to b, so he will never choose to move to e from d.

We can cut the remaining nodes to explore.



# $\alpha\beta$ -Pruning

## Procedure NegmaxAlphaBeta

**Input:** Position  $u$ , bounds  $\alpha$ ,  $\beta$

**Output:** Value at root

**if** ( $leaf(u)$ ) **return**  $Eval(u)$

$res \leftarrow \alpha$

**for each**  $v \in Succ(u)$

$val \leftarrow -NegmaxAlphaBeta(v, -\beta, -res)$

**if** ( $val > res$ )  $res \leftarrow val$

**if** ( $res \geq \beta$ ) **return**  $res$

**return**  $res$

;; No successor, static evaluation  
;; Initialize value  $res$  for current frame  
;; Traverse successor list  
;; Initialize cut-off value  
;; Update  $res$   
;; Perform cut-off  
;; Return final evaluation





# $\alpha\beta$ -Pruning

- For any node  $u$ :
  - $\beta$  is the upper bound used to restrict the node below  $u$ .
  - A cut-off occurs when  $u \geq \beta$ .
- From the opponent point of view:
  - Can choose a move that **avoids  $u$  with a value no greater than  $\beta$** .
  - The **alternate move is no worse than  $u$** , so searching below  $p$  is not necessary.

## Procedure NegmaxAlphaBeta

**Input:** Position  $u$ , bounds  $\alpha$ ,  $\beta$

**Output:** Value at root

```

if (leaf( $u$ )) return Eval( $u$ )
res  $\leftarrow$   $\alpha$ 
for each  $v \in \text{Succ}(u)$ 
     $val \leftarrow -\text{NegmaxAlphaBeta}(v, -\beta, -res)$ 
    if ( $val > res$ )  $res \leftarrow val$ 
    if ( $res \geq \beta$ ) return  $res$ 
return  $res$ 

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;; No successor, static evaluation  
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# $\alpha\beta$ -Pruning

## Procedure MinimaxAlphaBeta

**Input:** Position  $u$ , value  $\alpha$ , value  $\beta$

**Output:** Value at root

```

if (leaf( $u$ )) return Eval( $p$ )
if (max-node( $u$ ))
   $res \leftarrow \alpha$ 
  for each  $v \in Succ(u)$ 
     $val \leftarrow MinimaxAlphaBeta(v, res, \beta)$ 
     $res \leftarrow \max\{res, val\}$ 
    if ( $res \geq \beta$ )
      return  $res$ 
else
   $res \leftarrow \beta$ 
  for each  $v \in Succ(u)$ 
     $val \leftarrow MinimaxAlphaBeta(v, \alpha, res)$ 
     $res \leftarrow \min\{res, val\}$ 
    if ( $res \leq \alpha$ )
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return  $res$ 

```

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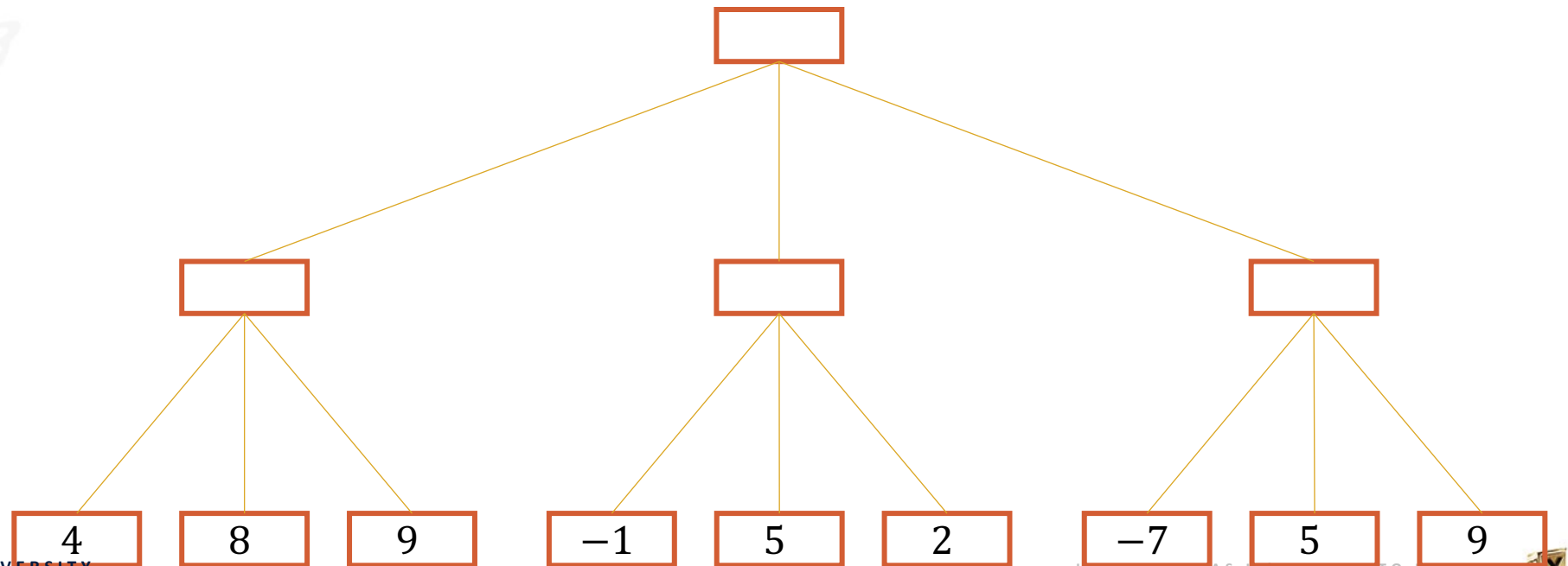
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# $\alpha\beta$ -Pruning





# $\alpha\beta$ -Pruning

## Procedure MinimaxAlphaBeta

**Input:** Position  $u$ , value  $\alpha$ , value  $\beta$

**Output:** Value at root

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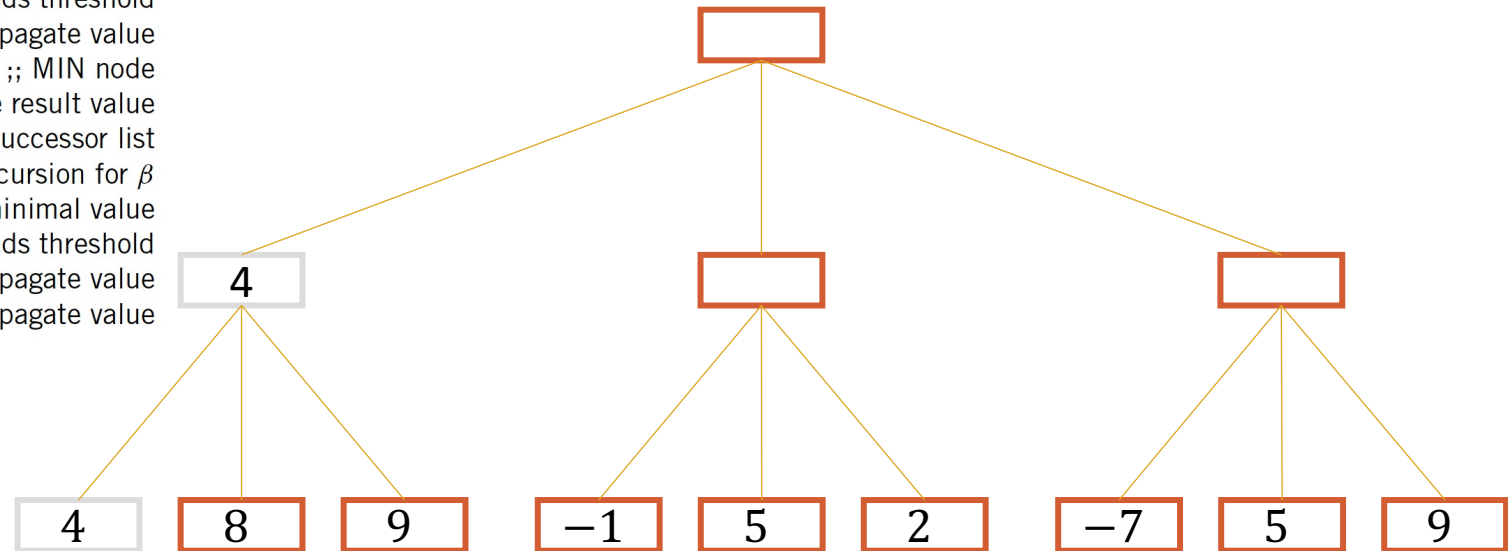
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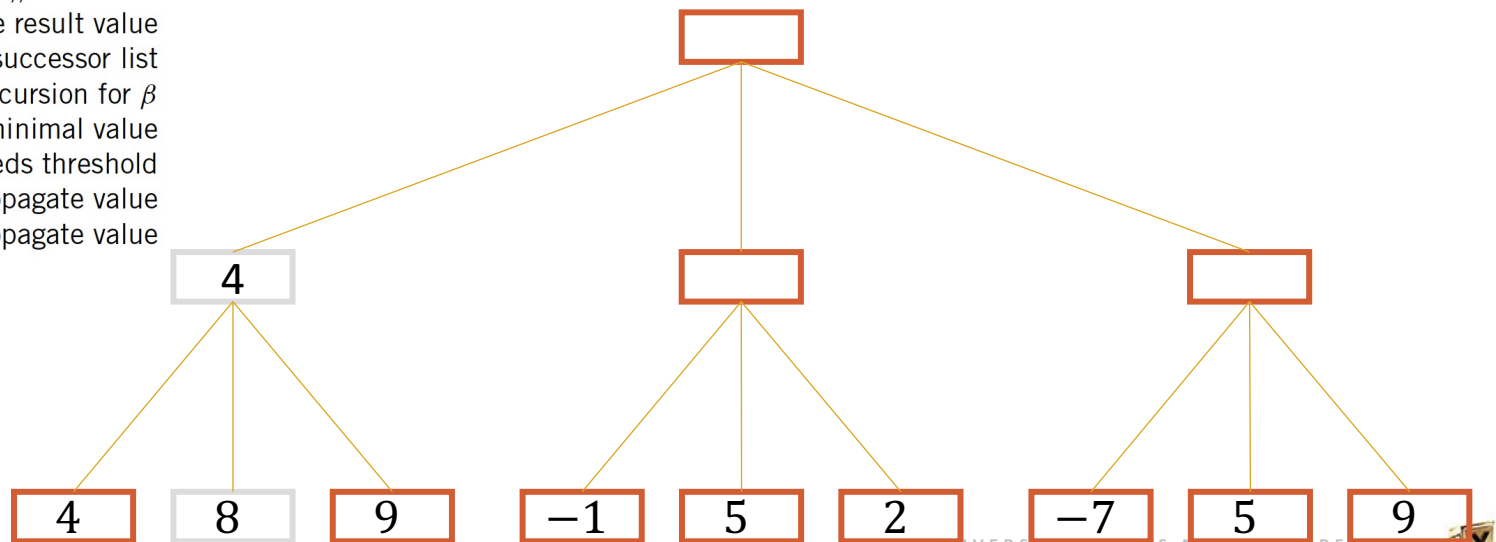
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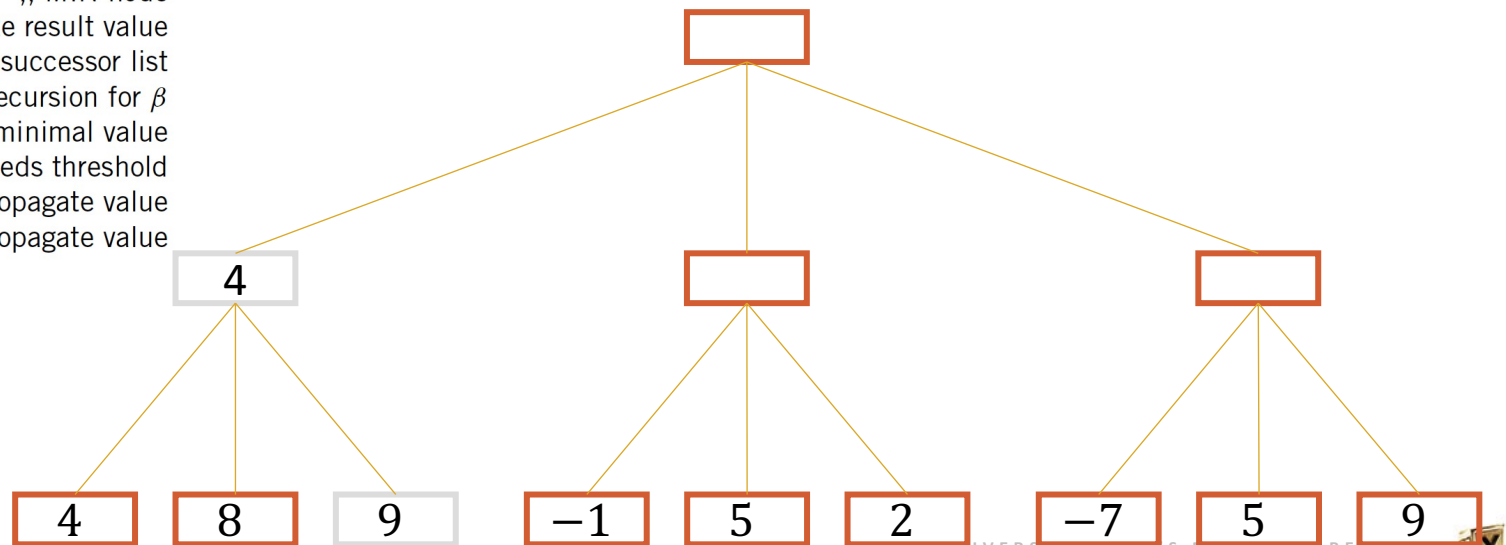
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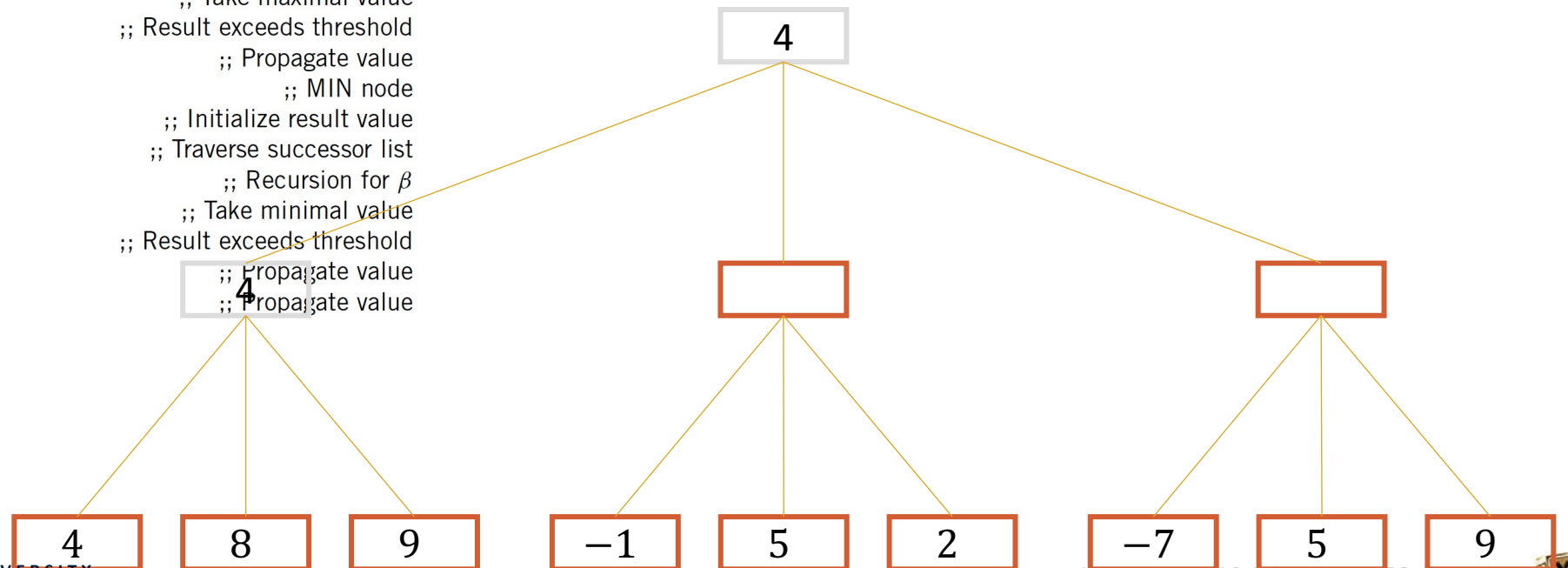
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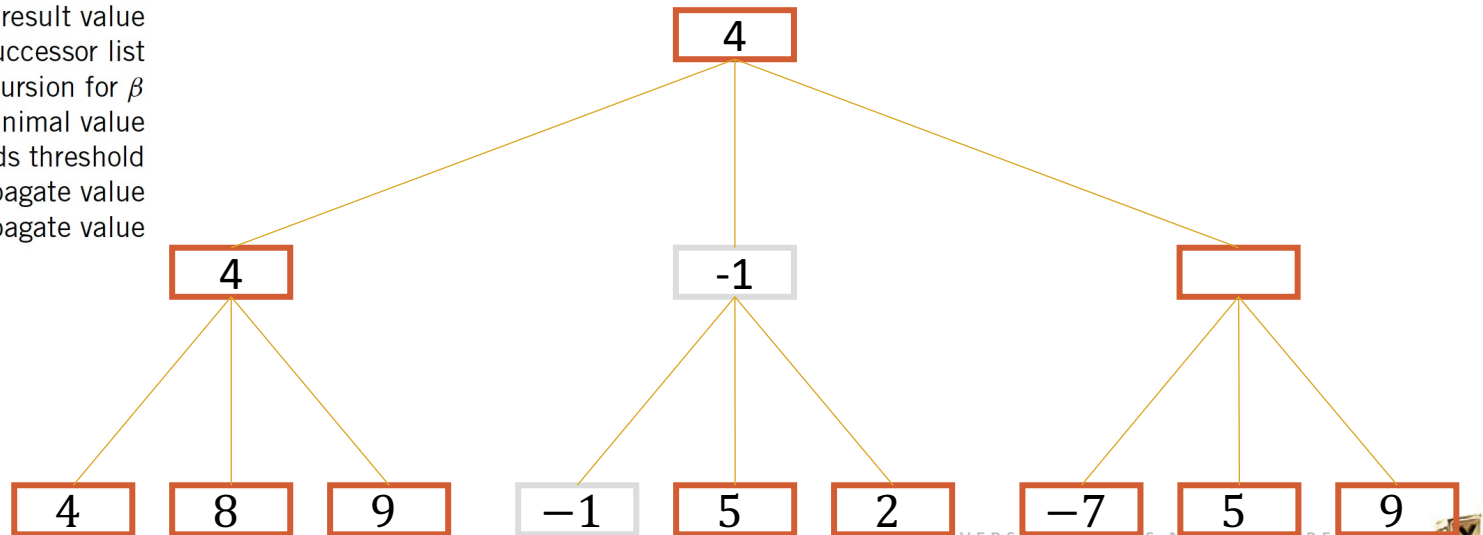
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# $\alpha\beta$ -Pruning

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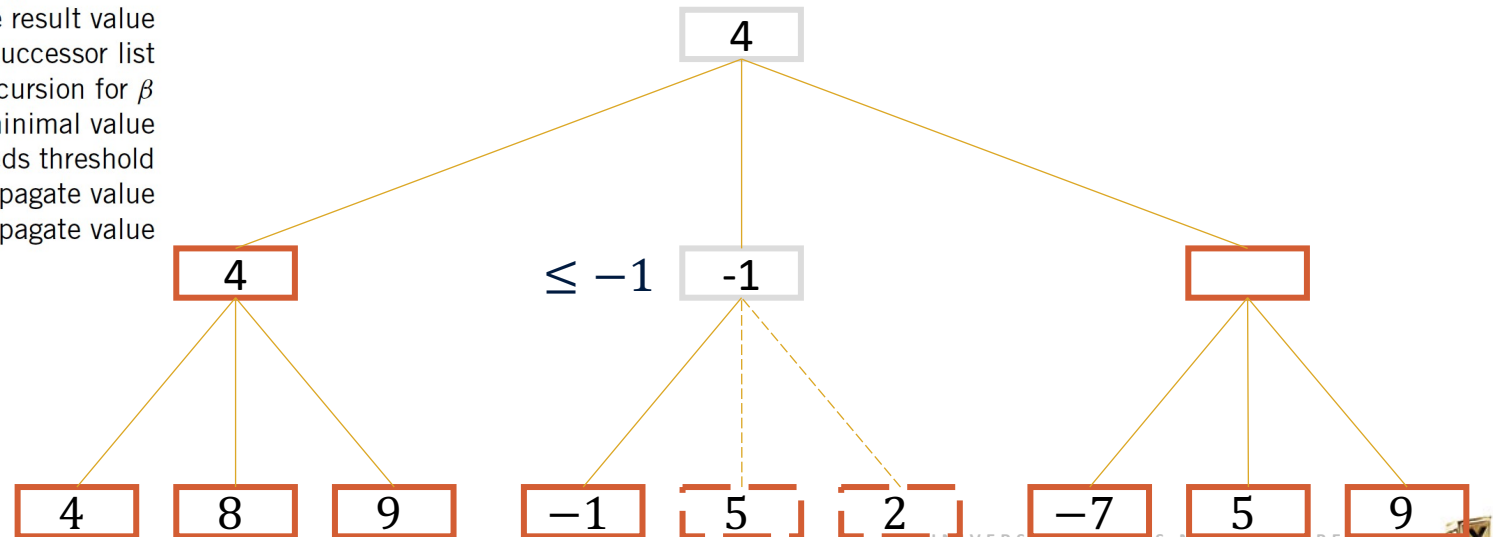
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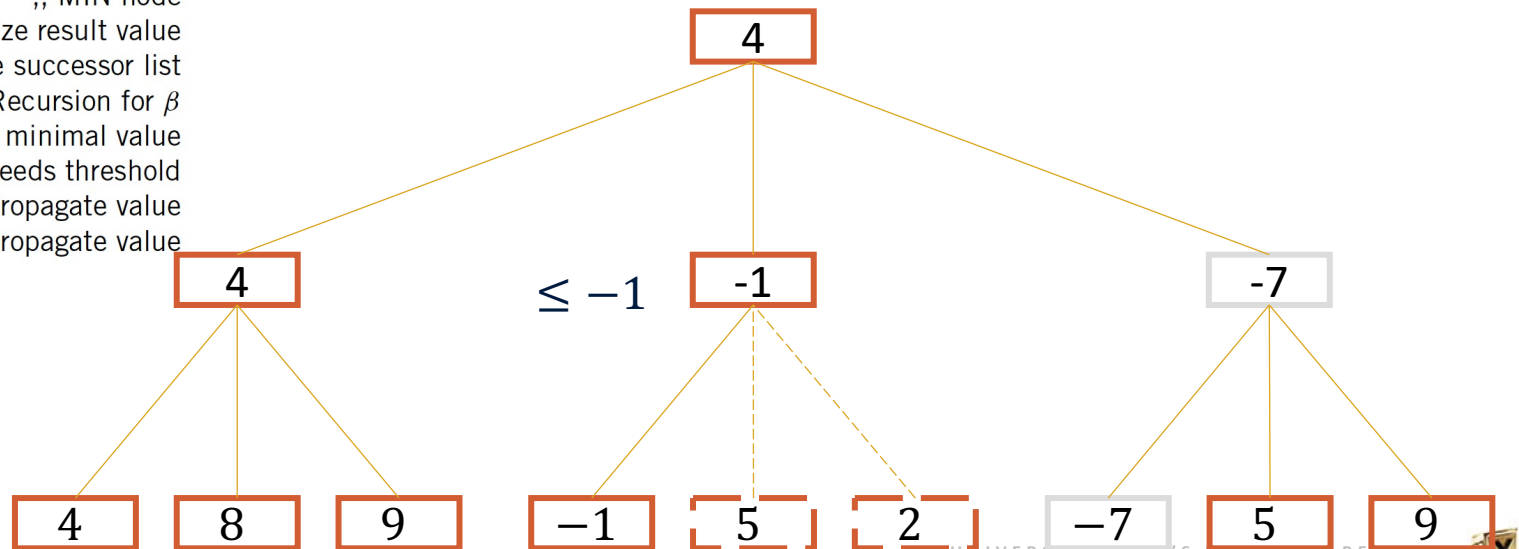
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# $\alpha\beta$ -Pruning

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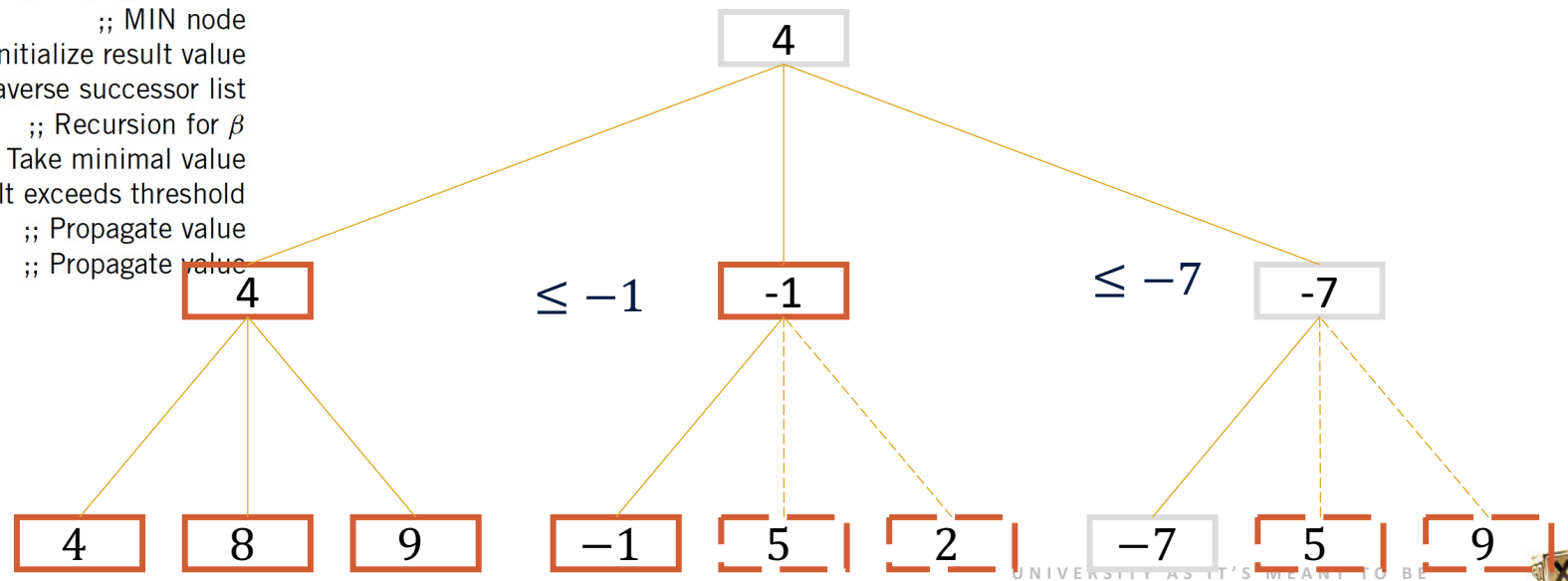
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## Performance $\alpha\beta$ -Pruning

- To obtain an **upper bound on the performance** we need to prove that there is a **minimal tree that must be searched**.
  - This tree is called critical tree.
  - And the nodes are critical nodes.
- The number of leaves on the critical tree is:
$$b^{\lfloor d/2 \rfloor} + b^{\lceil d/2 \rceil} - 1$$
  - Where  $b$  is the number of children of a node and  $d$  is the height.





## Performance $\alpha\beta$ -Pruning

- The number of leaves on the critical tree is:

$$b^{\lfloor d/2 \rfloor} + b^{\lfloor d/2 \rfloor} - 1$$

- Where  $b$  is the number of children of a node and  $d$  is the height.
- **Motivation:**
  - To prove that the value of the root is at least  $v$ ,  $b^{\lfloor d/2 \rfloor}$  leaves must be inspected.
    - One move must be considered on each agent level
    - And all moves on each opponent level.
  - $b^{\lfloor d/2 \rfloor} - 1$  leaf nodes must be generated to see that the value is at most  $v$ .
    - Opponent strategy.







## Performance $\alpha\beta$ -Pruning

- The number of leaves on the critical tree is:

$$b^{\lfloor d/2 \rfloor} + b^{\lfloor d/2 \rfloor} - 1$$

- Where  $b$  is the number of children of a node and  $d$  is the height.
- Any remarks?
  - $b^{\lfloor d/2 \rfloor} + b^{\lfloor d/2 \rfloor} - 1 \approx 2\sqrt{b^d}$
  - Which is the number of leaves in the whole unpruned tree.
  - Consequently  $\alpha\beta$ -pruning could double the search.
- If the tree is searched in the best-first order.
  - The best move is always chosen first for exploration.
  - Then  $\alpha\beta$  will search only the critical tree.

